



Docket No. 10392-46801

SECTOR
\$ #3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Steven M. H. WALLMAN

Serial No.: 09/516,787

Filing Date: March 1, 2000

For: METHOD AND APPARATUS
FOR TRADING SECURITIES OR
OTHER INSTRUMENTS

Art Unit: 2768

Examiner: Unassigned

COMMISSIONER
FOR PATENTS
Washington, DC 20231

ATTENTION: BOX MISSING PARTS

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF
NON PROVISIONAL APPLICATION**

Sir:

Responsive to the "Notice to File Missing Parts of Nonprovisional Application", mailed in the above-cited application on April 26, 2000, Applicant encloses an Executed Declaration and Power of Attorney duly signed by the inventor and a Statement Claiming Small Entity Status.


Please charge Deposit Account No. 11-0600 in the amount of \$1148.00 representing the fees set forth in 37 C.F.R. §1.17(h). A copy of this response is enclosed for that purpose.

In addition, Applicant encloses an executed Assignment document, with an Assignment Recordation Form 1595 and authorization to charge the requisite fee of \$40.00 to Deposit Account No. 11-0600.

Docket No. 10349/46801
Serial No. 09/516,787

Respectfully submitted,

Date 31 May 2010

By 
Michael N. Haynes
Reg. No. 40,014

KENYON & KENYON
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facsimile: 202/220-4201

FORMALITIES LETTER

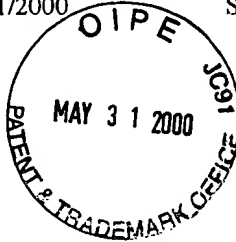


OC00000005075630

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/516,787	03/01/2000	Steven M.H. Wallman	10392/46801

Kenyon & Kenyon
Suite 700
1500 K Street NW
Washington, DC 20005-1257



Date Mailed: 04/26/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1476.
 - \$1008 for 56 total claims over 20.
 - \$468 for 6 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 2296.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

06/01/2000 MMARMOL 00000119 110600 09516787 PART 2 - COPY TO BE RETURNED WITH RESPONSE

01 FC:201	345.00 CH
02 FC:205	65.00 CH
03 FC:203	504.00 CH
04 FC:202	234.00 CH